## JC02 Rec'd PCT/PTO

24 JUN 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
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TRANSMITTAL LETTER TO THE UNITED STATES **DESIGNATED/ELECTED OFFICE (DO/EO/US)** 

ATTTORNEY'S DOCKET NUMBER 2003P07571WOUS See 37 CED 1 51

| CON  | ICERNING A SUBMISSION   | to be assigned / 540 75%                        |  |  |  |  |  |  |  |  |  |
|--|---|---|--|--|--|--|--|--|--|--|--|
| が<br>で<br>が<br>で<br>が<br>に<br>が<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に<br>に | TIONAL APPLICATION NO.<br>P2003/010233  | 09/15/2003                                      | PRIORITY DATE CLAIMED 02/14/2003                 |  |  |  |  |  |  |  |  |
| TITLE OF Method  | invention<br>d for generating a circula   | r periodic structure on a bas                   | sic support material                             |  |  |  |  |  |  |  |  |
|  | NT(S) FOR DO/EO/US<br>cherrer Institut et al  |   |  |  |  |  |  |  |  |  |  |
| Applican   | t herewith submits to the United St   | ates Designated/Elected Office (DO/EC           | D/US) the following items and other information: |  |  |  |  |  |  |  |  |
| 1.   | This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |  |  |  |
| 2.   | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.   |   |  |  |  |  |  |  |  |  |  |
| 3. 🗸   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |   |  |  |  |  |  |  |  |  |  |
| 4. 🗸   | The US has been elected (Article 31).   |   |  |  |  |  |  |  |  |  |  |
| 5.   | A copy of the International Application   | n as filed (35 U.S.C. 371(c)(2))                |  |  |  |  |  |  |  |  |  |
|  | a. is attached hereto (required only if not communicated by the International Bureau).  |   |  |  |  |  |  |  |  |  |  |
|  | b. An has been communicated by the International Bureau.  |   |  |  |  |  |  |  |  |  |  |
|  | c. is not required, as the appl   | ication was filed in the United States Receiv   | ing Office (RO/US).                              |  |  |  |  |  |  |  |  |
| 6.   | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).  |   |  |  |  |  |  |  |  |  |  |
|  | a. is attached hereto.  |   |  |  |  |  |  |  |  |  |  |
|  | b. Las been previously subm   | itted under 35 U.S.C. 154(d)(4).                |  |  |  |  |  |  |  |  |  |
| 7.   | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  |   |  |  |  |  |  |  |  |  |  |
|  | a. are attached hereto (requ  | ired only if not communicated by the Interna    | tional Bureau).                                  |  |  |  |  |  |  |  |  |
|  | b. have been communicated   | by the International Bureau.                    |  |  |  |  |  |  |  |  |  |
|  | c. have not been made; however, the time limit for making such amendments has NOT expired.  |   |  |  |  |  |  |  |  |  |  |
| <b></b>  | d. have not been made and   | will not be made.                               |  |  |  |  |  |  |  |  |  |
| 8.   | An English language translation of the  | he amendments to the claims under PCT Ar        | ticle 19 (35 U.S.C. 371(c)(3)).                  |  |  |  |  |  |  |  |  |
| 9. 🗀   | An oath or declaration of the invento   | r(s) (35 U.S.C. 371(c)(4)).                     |  |  |  |  |  |  |  |  |  |
| 10.  | An English language translation of th Article 36 (35 U.S.C. 371(c)(5)).   | e annexes of the International Preliminary E    | examination Report under PCT                     |  |  |  |  |  |  |  |  |
| Items  | 11 to 20 below concern document(s   | s) or information included:                     |  |  |  |  |  |  |  |  |  |
| 11. 🗹  | An Information Disclosure Statement   | t under 37 CFR 1.97 and 1.98.                   | t .  |  |  |  |  |  |  |  |  |
| 12   | An assignment document for recording  | ng. A separate cover sheet in compliance w      | ith 37 CFR 3.28 and 3.31 is included.            |  |  |  |  |  |  |  |  |
| 13.  | A preliminary amendment.  |   |  |  |  |  |  |  |  |  |  |
| 14.  | An Application Data Sheet under 37  | CFR 1.76.                                       |  |  |  |  |  |  |  |  |  |
| 15. 🔲  | A substitute specification.   |   |  |  |  |  |  |  |  |  |  |
| 16.  | A power of attorney and/or change o   | f address letter.                               |  |  |  |  |  |  |  |  |  |
| 17.  | A computer-readable form of the seq   | uence listing in accordance with PCT Rule       | 13ter.2 and 37 CFR 1.821- 1.825.                 |  |  |  |  |  |  |  |  |
| 18.  | A second copy of the published Inter  | national Application under 35 U.S.C. 154(d)     | (4).   |  |  |  |  |  |  |  |  |
| 19.  | A second copy of the English langua   | ge translation of the international application | under 35 U.S.C. 154(d)(4).                       |  |  |  |  |  |  |  |  |
| 20 🗸   | Other items or information: Potus   | rn Poet Card                                    |  |  |  |  |  |  |  |  |  |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the "USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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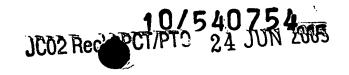
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| U.S. APPLICA  | TION NO. (if known, see                          | 37 CFR 1.5)   | INTERNATIONAL A                                     |            |                              | ATTORNEY'S DOC   |              |                             | 7        |  |  |  |  |
|---|--|---|---|------------|------------------------------|--|--------------|-----------------------------|----------|--|--|--|--|
| Ito be as   | Signers An                                       | 1754  | PCT/EP200   | )3/C       | )10233                       | 2003P0757  | <b>1</b> W   | <b>JOUS</b>                 |          |  |  |  |  |
| 21. The follow  | ing tees are submitted:                          |   |   |            |                              |  |              |                             | コ        |  |  |  |  |
| a) Basic na   | \$ 300   | 1   |   |            |                              |  |              |                             |          |  |  |  |  |
| b) Examir   | \$ 200   |   | ACCOUNT   |            |                              |  |              |                             |          |  |  |  |  |
| c) Search   | \$ 500   |   | DEPCYT AC   | CONTR      |                              |  |              |                             |          |  |  |  |  |
|   | \$ 1000  |   | 500   | 2460       |                              |  |              |                             |          |  |  |  |  |
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| Surcharge of \$1 claimed priority   | \$   |   |   |            |                              |  |              |                             |          |  |  |  |  |
| CLAIMS  | NUMBER F   | ILED  | NUMBER EXTRA  |            | RATE                         | \$   |              | y .                         |          |  |  |  |  |
| Total claims  | 9  | - 20 =  |   | <u> </u>   | \$50.00                      | \$ <b>_</b>  |              |                             |          |  |  |  |  |
| Independent cla   | ims 1  | - 3 =   |   | ×          | \$200.00                     | \$ -   | 1            | والمسال                     |          |  |  |  |  |
| MULTIPLE DEP  | PENDENT CLAIM(S) (if a                           | pplicable)  |   | +          | \$360.00                     | \$   |              |                             |          |  |  |  |  |
| TOTAL OF ABOVE CALCULATIONS 3. \$100000 WALVARAD  Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above 360000 WALVARAD  by ½. 03 FC:1632 500.00 CR                         |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
|   |  |   |   |            | SUBTOTAL =                   | \$ 1000.00   |              |                             | $\dashv$ |  |  |  |  |
| Processing fee  | of \$130.00 for furnishing                       | the English tr  | anslation later than 30 mor                         |            |                              |  |              |                             | $\dashv$ |  |  |  |  |
|   | date (37 CFR 1.492(f)).                          | ano Englion a   | anoidio: riater dia 100 moi                         | 1013 110   | +                            | \$   |              |                             |          |  |  |  |  |
|   |  | \$ 1000.00  |   |            |                              |  |              |                             |          |  |  |  |  |
|   | g the enclosed assignmente cover sheet (37 CFR 3 |   | .21(h)). The assignment m                           | ust be a   | accompanied<br>+             | \$ _   |              |                             |          |  |  |  |  |
|   |  |   | TOTAL   | FEES (     | NCLOSED =                    | \$   |              |                             |          |  |  |  |  |
|   |  |   |   |            |                              |  | Amount to be |                             |          |  |  |  |  |
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| a. A che  | ck in the amount of \$                           |   | to cover the ab                                     |            |                              |  |              |                             | 7        |  |  |  |  |
| a. A check in the amount of \$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed. |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 502464 A duplicate copy of this sheet is enclosed.   |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
| d. Fees a be inc  |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
| NOTE: Where a<br>and granted to   | in appropriate time limi                         | it under 37 C<br>al Applicatio  | FR 1.495 has not been months of the pending status. | et, a pe   | tition to revive             | (37 CFR 1.137(a) or (b))   | must         | t be filed                  |          |  |  |  |  |
| SEND ALL COR  | RESPONDENCE TO:                                  |   |   | _          | . ^                          | - ^  |              |                             |          |  |  |  |  |
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| OR  |  |   |   | 7          | SIGNATURE                    |  | -            |                             |          |  |  |  |  |
| Jacob Eisenberg   |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
| Jacob Eisenberg c/o Siemens Schweiz Intellectual Property, I-44 Albisriederstrasse 245  NAME  43,410  |  |   |   |            |                              |  |              |                             |          |  |  |  |  |
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Your reference Our reference

2003P07571WOUS/ERB

Date

June 22, 2005

Customer No.: 28204

Re:

**Utility Patent Application** 

Atty. Dock.:

2003P07571WOUS

Serial No.: to be assigned

Filed:

to be assigned

Inventor(s): Christian David et al

For:

Method for generating a circular periodic structure on a basic support material

## Sir:

In response to the FILING OF APPLICATION PAPERS, the following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

- 1. Transmittal Letter to the United States designated/elected Office (DO/EO/US) concerning a submission under 35 U.S.C. 371Form;
- 2. Claims:
- Specification; 3.
- 4. Drawings;
- Information Disclosure Statement by Applicant (1 page); 5.
- Information Disclosure Statement; 6.
- 7. Patent Documents:
- Application Data Sheet; and 8.
- 9. Return Post Card.

It is respectfully requested that the attached post card by stamped with the filing date of these documents and returned to us via post. A duplicate of this letter is enclosed.

In the event that the transmittal form is separated from this document and the Patent Office determines that an extension of time and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of





such petition and/or other fees in connection with the filing of this document to **Deposit Account No.: 502464** referencing attorney docket number 2003P07571WOUS.

However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 06/22/2005

Respectfully Submitted,

**Enclosures** 

Jácob Eisenberg
Attorney for Applicant
Registration No. 43,410
Customer No.: 28204